



17707 U.S. PTO

121503

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Date of Deposit: December 15, 2003

I hereby certify under 37 CFR 1.10 that this correspondence is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" with sufficient postage on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

Name: Melissa Scanzillo

Signature: Melissa Scanzillo
Clifford Chance US LLP

Docket No. 3499-256

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION TRANSMITTAL031354 U.S. PTO
10/737217

121503

MAIL STOP PATENT APPLICATION

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing is a utility patent application

Of: David Lawrence, Carl Young and Phillip Venables

For: INTERACTIVE SECURITY RISK MANAGEMENT

ENCLOSED are the following:

1. ☒ Specification (12 pages), abstract (1 page), claims (4 pages) and coversheet (1 page) (18 pages)
2. ☒ 10 Sheets of ☒ formal ☐ informal drawings (Figs. 1-7)

Suggested Figure for Publication: 2B

3. ☐ Declaration
 - ☐ signed ☐ unsigned
 - ☐ Copy from a prior application (for continuation/divisional)
4. ☐ Application Data Sheet
5. ☐ Preliminary Amendment
6. ☐ Information Disclosure Statement and PTO-1449
7. ☐ Assignment and Assignment Recordation sheet (*Fee calculated separately*)
8. ☐ The prior application is assigned to: _____

The assignment was recorded on _____ at reel _____ and frame _____.

☐ A copy of the assignment from the prior application is enclosed.

9. ☐ Non-Publication Request
10. ☐ Other:

NOTE the following:

11. ☐ This application is made under 37 C.F.R. § 1.53 (b) and is a
 - ☐ Continuation ☐ Continuation-In-Part ☐ Divisional
12. ☐ An Extension of time is filed concurrently herewith for the parent application.

13. Small Entity Status:

☐ Applicant is a small entity14. ☒ Applicants claim priority from the following application(s) under 35 U.S.C. § 119:15. ☐ Applicants claim priority from the following application(s) under 35 U.S.C. § 120:Serial NumberCountryFiling DateCertified Copy Encl.

60/434,343

US

12/18/02

N

FILING FEE: calculated below (after accounting for any preliminary amendment or claims cancellations if noted above):

| | | | |
|-----------------------------------|-----------------------------|------------------------|-------------------|
| Basic Filing Fee | | | \$770.00 |
| Total No. Claims 18 | Extra Claims(Total-20) 0 | Fee / claim \$18.00 | \$0.00 |
| Total No. Independent Claims 6 | Extra Independ.(No.-3) 3 | Fee / claim \$86.00 | \$258.00 |
| Multiple dependent claim? + \$280 | | | \$0 |
| Sub-Total | | | \$1,028.00 |
| Small Entity? less 50% | | | -0.00 |
| TOTAL FEE DUE | | | \$1,028.00 |

16. ☒ The Commissioner is authorized to charge \$1,028.00 in connection with this communication to Deposit Account No. 50-0521.

In the event that a petition for extension of time is required to be submitted in the pending prior application, and in the event that a separate petition for the pending prior application is not filed on even date, applicant hereby petitions under 37 C.F.R.1.136(a) for an extension of time in the pending prior application of as many months as are required to render this submission timely. The Commissioner is authorized to charge any fees due in connection with such a petition to Deposit Account No. 50-0521. The Commissioner is authorized to charge any fee or additional fee due in connection with this communication to Deposit Account No. 50-0521.

A duplicate copy of this sheet is enclosed.

Date:

12-15-03

Respectfully submitted,

J.P. Kincart

Joseph P. Kincart

Reg. No. 43,716

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